

Concavity and Curve Sketching :

DEFINITION **Concave Up, Concave Down**

The graph of a differentiable function $y = f(x)$ is

- (a) **concave up** on an open interval I if f' is increasing on I
- (b) **concave down** on an open interval I if f' is decreasing on I .

If $y = f(x)$ has a second derivative, we can apply Corollary 3 of the Mean Value Theorem to conclude that f' increases if $f'' > 0$ on I , and decreases if $f'' < 0$.

The Second Derivative Test for Concavity

Let $y = f(x)$ be twice-differentiable on an interval I .

1. If $f'' > 0$ on I , the graph of f over I is concave up.
2. If $f'' < 0$ on I , the graph of f over I is concave down.

Points of Inflection

The curve $y = 3 + \sin x$ changes concavity at the point $(\pi, 3)$. We call $(\pi, 3)$ a *point of inflection* of the curve.

DEFINITION **Point of Inflection**

A point where the graph of a function has a tangent line and where the concavity changes is a **point of inflection**.

EXAMPLE **Determining Concavity**

Determine the concavity of $y = 3 + \sin x$ on $[0, 2\pi]$.

Solution The graph of $y = 3 + \sin x$ is concave down on $(0, \pi)$, where $y'' = -\sin x$ is negative. It is concave up on $(\pi, 2\pi)$, where $y'' = -\sin x$ is positive.

Strategy for Graphing $y = f(x)$

1. Identify the domain of f and any symmetries the curve may have.
2. Find y' and y'' .
3. Find the critical points of f , and identify the function's behavior at each one.
4. Find where the curve is increasing and where it is decreasing.
5. Find the points of inflection, if any occur, and determine the concavity of the curve.
6. Identify any asymptotes.
7. Plot key points, such as the intercepts and the points found in Steps 3–5, and sketch the curve.

Optimization:-

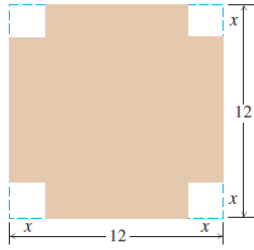
Optimization means making the thing optimal .

The principles of maxima and minima are used to solve the optimization problems.

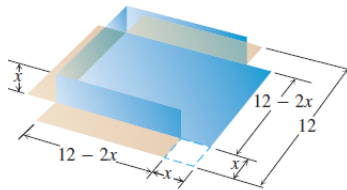
In these problems , we need the absolute maximum and the absolute minimum values to obtain the solutions.

Solving Applied Optimization Problems

1. *Read the problem.* Read the problem until you understand it. What is given? What is the unknown quantity to be optimized?
2. *Draw a picture.* Label any part that may be important to the problem.
3. *Introduce variables.* List every relation in the picture and in the problem as an equation or algebraic expression, and identify the unknown variable.
4. *Write an equation for the unknown quantity.* If you can, express the unknown as a function of a single variable or in two equations in two unknowns. This may require considerable manipulation.
5. *Test the critical points and endpoints in the domain of the unknown.* Use what you know about the shape of the function's graph. Use the first and second derivatives to identify and classify the function's critical points.



(a)



(b)

FIGURE An open box made by cutting the corners from a square sheet of tin.

EXAMPLE Fabricating a Box

An open-top box is to be made by cutting small congruent squares from the corners of a 12-in.-by-12-in. sheet of tin and bending up the sides. How large should the squares cut from the corners be to make the box hold as much as possible?

Solution We start with a picture (Figure 4.35). In the figure, the corner squares are x in. on a side. The volume of the box is a function of this variable:

$$V(x) = x(12 - 2x)^2 = 144x - 48x^2 + 4x^3. \quad V = hlw$$

Since the sides of the sheet of tin are only 12 in. long, $x \leq 6$ and the domain of V is the interval $0 \leq x \leq 6$.

A graph of V (Figure 4.36) suggests a minimum value of 0 at $x = 0$ and $x = 6$ and a maximum near $x = 2$. To learn more, we examine the first derivative of V with respect to x :

$$\frac{dV}{dx} = 144 - 96x + 12x^2 = 12(12 - 8x + x^2) = 12(2 - x)(6 - x).$$

Of the two zeros, $x = 2$ and $x = 6$, only $x = 2$ lies in the interior of the function's domain and makes the critical-point list. The values of V at this one critical point and two endpoints are

$$\text{Critical-point value: } V(2) = 128$$

$$\text{Endpoint values: } V(0) = 0, \quad V(6) = 0.$$

The maximum volume is 128 in.^3 . The cutout squares should be 2 in. on a side.

EXAMPLE Inscribing Rectangles

A rectangle is to be inscribed in a semicircle of radius 2. What is the largest area the rectangle can have, and what are its dimensions?

Solution Let $(x, \sqrt{4 - x^2})$ be the coordinates of the corner of the rectangle obtained by placing the circle and rectangle in the coordinate plane (Figure 4.36). The length, height, and area of the rectangle can then be expressed in terms of the position x of the lower right-hand corner:

$$\text{Length: } 2x, \quad \text{Height: } \sqrt{4 - x^2}, \quad \text{Area: } 2x \cdot \sqrt{4 - x^2}.$$

Notice that the values of x are to be found in the interval $0 \leq x \leq 2$, where the selected corner of the rectangle lies.

Our goal is to find the absolute maximum value of the function

$$A(x) = 2x\sqrt{4 - x^2}$$

on the domain $[0, 2]$.

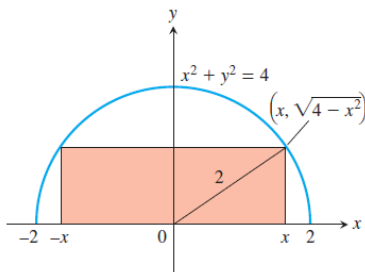


FIGURE 4.36 The rectangle inscribed in the semicircle in Example 3.

The derivative

$$\frac{dA}{dx} = \frac{-2x^2}{\sqrt{4-x^2}} + 2\sqrt{4-x^2}$$

is not defined when $x = 2$ and is equal to zero when

$$\begin{aligned}\frac{-2x^2}{\sqrt{4-x^2}} + 2\sqrt{4-x^2} &= 0 \\ -2x^2 + 2(4-x^2) &= 0 \\ 8 - 4x^2 &= 0 \\ x^2 &= 2 \text{ or } x = \pm\sqrt{2}.\end{aligned}$$

Of the two zeros, $x = \sqrt{2}$ and $x = -\sqrt{2}$, only $x = \sqrt{2}$ lies in the interior of A 's domain and makes the critical-point list. The values of A at the endpoints and at this one critical point are

$$\text{Critical-point value: } A(\sqrt{2}) = 2\sqrt{2}\sqrt{4-2} = 4$$

$$\text{Endpoint values: } A(0) = 0, \quad A(2) = 0.$$

The area has a maximum value of 4 when the rectangle is $\sqrt{4-x^2} = \sqrt{2}$ units high and $2x = 2\sqrt{2}$ unit long. ■